

Introductory sub-table (I)(a)

Name of the Company: <i>Bharat Bhushan Finance & Commodity Brokers Ltd.</i>			
<i>(Formerly known as Bharat Bhushan Share & Commodity Brokers Limited)</i>			
Scrip Code, class of security: 511501, Equity			
Quarter ended : 30th September, 2015			
Partly paid-up shares:-	No. of partly paid-up shares	As a % of total no. of partly paid-up shares	As a % of total no. of shares of the company
Held by promoter/promoter group	0	0	0
Held by public	0	0	0
Total - D	0	0	0
Outstanding convertible securities:-	No. of outstanding securities	As a % of total no. of outstanding convertible securities	As a % of total no. of shares of the company, assuming full conversion of the convertible securities
Held by promoter/promoter group			0
Held by public			0
Total - E	0	0	0
Warrants:-	No. of warrants	As a % of total no. of warrants	As a % of total no. of shares of the company, assuming full conversion of warrants
Held by promoter/promoter group			0
Held by public			0
Total - F	0	0	0
Total paid-up capital of the company, assuming full conversion of warrants and convertible securities			

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Statement Showing Shareholding Pattern								
Category code	Category of Shareholder	Number of Shareholders	Table (I)(a)		Total shareholding as a percentage of total number of shares		Shares Pledged or otherwise encumbered	
			Total number of shares	Number of shares held in dematerialized form	As a percentage of (A+B) ¹	As a percentage of (A+B+C)	Number of shares	As a percentage
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)	= (VIII)/(IV)*
(A)	Shareholding of Promoter and Promoter							
1	Indian							
(a)	Individuals/ Hindu Undivided Family	16	1819270	1819190	53.82	53.82	NA	NA
(b)	Central Government/ State Government(s)	0	0	0	0.00	0.00	NA	NA
(c)	Bodies Corporate	0	0	0	0.00	0.00	NA	NA
(d)	Financial Institutions/ Banks	0	0	0	0.00	0.00	NA	NA
(e)	Any Others(Specify)	0	0	0	0.00	0.00	NA	NA
(e-i)								
(e-ii)								
	Sub Total(A)(1)	16	1819270	1819190	53.82	53.82	0	0.00
2	Foreign							
a	Individuals (Non-Residents Individuals/ Foreign Individuals)	0	0	0	0.00	0.00	NA	NA
b	Bodies Corporate	0	0	0	0.00	0.00	NA	NA
c	Institutions	0	0	0	0.00	0.00	NA	NA
d	Qualified Foreign Investor	0	0	0	0.00	0.00	NA	NA
e	Any Others(Specify)	0	0	0	0.00	0.00	NA	NA
e-i								
e-ii								
	Sub Total(A)(2)	0	0	0	0.00	0.00	0	NA
	Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)	16	1819270	1819190	53.82	53.82	0	0.00
(B)	Public shareholding							
1	Institutions							
(a)	Mutual Funds/ UTI	1	500	0	0.01	0.01	NA	NA
(b)	Financial Institutions/ Banks	0	0	0	0.00	0.00	NA	NA
(c)	Central Government/ State Government(s)	0	0	0	0.00	0.00	NA	NA
(d)	Venture Capital Funds	0	0	0	0.00	0.00	NA	NA
(e)	Insurance Companies	0	0	0	0.00	0.00	NA	NA
(f)	Foreign Institutional Investors	0	0	0	0.00	0.00	NA	NA
(g)	Foreign Venture Capital Investors	0	0	0	0.00	0.00	NA	NA
(h)	Qualified Foreign Investor	0	0	0	0.00	0.00	NA	NA
(i)	Any Other (specify)							
(i-i)								
(i-ii)								
	Sub-Total (B)(1)	1	500	0	0.01	0.01	0	0.00
B 2	Non-institutions							
(a)	Bodies Corporate	80	95810	70410	2.83	2.83	NA	NA
(b)	Individuals							
I	Individuals -i. Individual shareholders holding nominal share capital up to Rs 1 lakh	3692	1288936	754015	38.13	38.13	NA	NA

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II	ii. Individual shareholders holding nominal share capital in excess of Rs. 1 lakh.	8	135638	125438	4.01	4.01	NA	NA
(c)	Qualified Foreign Investor	0	0	0	0.00	0.00	NA	NA
(d)	Any Other (specify)							
(d-i)	Non Resident Indian	30	27746	11746	0.82	0.82		
(d-ii)	Corporate Body (OCB)	1	12500	12500	0.37	0.37		
	Sub-Total (B)(2)	3811	1560630	974109	46.17	46.17		
(B)	Total Public Shareholding (B)= (B)(1)+(B)(2)	3812	1561130	974109	46.18	46.18		
	TOTAL (A)+(B)	3828	3380400	2793299	100.00	100.00		
(C)	Shares held by Custodians and against which Depository Receipts have been issued							
1	Promoter and Promoter Group							
2	Public							
	Sub-Total (C)	0	0	0	0	0		
	GRAND TOTAL (A)+(B)+(C)	3828	3380400	2793299	100.00	100.00	0	0.00

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(I)(b) Statement showing holding of securities (including shares, warrants, convertible securities) of persons belonging to the category "Promoter and Promoter Group"

Sr.	Name of the shareholder	Details of Shares held		Encumbered shares (*)			Details of warrants		Details of convertible securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
		Number of shares held	As a % of grand total (A)+(B)+(C)	No.	As a percentage	As a % of grand total (A)+(B)+(C) of sub-clause (I)(a)	Number of warrants held	As a % total number of warrants of the same class	Number of convertible securities held	As a % total number of convertible securities of the same class	
(i)	(ii)	(iii)	(iv)	(v)	(vi)=(v)/(iii)*100	(vii)	(viii)	(ix)	(x)	(xi)	(xii)
1	Vijay Bhushan	801968	23.72	0	0.00	0.00	0	0		0	0.00
2	Nisha Ahuja	655746	19.40	0	0.00	0.00	0	0		0	0.00
3	Jogesh Ahuja	20581	0.61	0	0.00	0.00	0	0		0	0.00
4	Jogesh Ahuja (HUF)	118607	3.51	0	0.00	0.00	0	0		0	0.00
5	Sangeeta Bhushan	104565	3.09	0	0.00	0.00	0	0		0	0.00
6	Madhvi Ahuja	33905	1.00	0	0.00	0.00	0	0		0	0.00
7	Sandhya Ahuja	30413	0.90	0	0.00	0.00	0	0		0	0.00
8	Sant Kumari Aggarwal	1500	0.04	0	0.00	0.00	0	0		0	0.00
9	Savi Bhushan	100	0.00	0	0.00	0.00	0	0		0	0.00
10	Uday Ahuja	45907	1.36	0	0.00	0.00	0	0		0	0.00
11	Kanta Ahuja	5000	0.15	0	0.00	0.00	0	0		0	0.00
12	Madhav Bhushan	898	0.03	0	0.00	0.00	0	0		0	0.00
13	Darshan Aggarwal	80	0.00	0	0.00	0.00	0	0		0	0.00
TOTAL		1819270	53.82	0	0.00	0.00	0	0		0	0.00

(*) The term "encumbrance" has the same meaning as assigned to it in regulation 28(3) of the SAST Regulations, 2011

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(I)(c)(i) Statement showing holding of securities (including shares, warrants, convertible securities) of persons belonging to the category "Public" and holding more than 1% of the total number of shares

Sr. No.	Name of the shareholder	Number of shares held	Shares as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above}	Details of warrants		Details of convertible securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
				Number of warrants held	As a % total number of warrants of the same class	Number of convertible securities held	% w.r.t total number of convertible securities of the same class	
1	Milan Dhar	40377	1.19	0	0	0	0	0
TOTAL		40377	1.19	0	0	0	0	0

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(I)(c)(ii) Statement showing holding of securities (including shares, warrants, convertible securities) of persons (together with PAC) belonging to the category "Public" and holding more than 5% of the total number of shares of the company

Sr. No.	Name(s) of the shareholder(s) and the Persons Acting in Concert (PAC) with them	Number of shares	Shares as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above}	Details of warrants		Details of convertible securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
				Number of warrants	As a % total number of warrants of the same class	Number of convertible securities held	% w.r.t total number of convertible securities of the same class	
1	N. A.	0	0.00	0	0	0	0	0
TOTAL		0	0.00	0	0	0	0	0

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(I)(d) Statement showing details of locked-in shares

Sr. No.	Name of the shareholder	Number of locked-in shares	Locked-in shares as a percentage of total number of shares (i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above)
1	N/A	0	0.00
TOTAL		0	0.00

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(II)(a) Statement showing details of Depository Receipts (DRs)

Sr. No.	Type of outstanding DR (ADRs, GDRs, SDRs, etc.)	Number of outstanding DRs	Number of shares underlying outstanding DRs	Shares underlying outstanding DRs as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above}
1	N/A	0	0	0.00
TOTAL		0	0	0.00

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(II)(b) Statement showing holding of Depository Receipts (DRs), where underlying shares held by "promoter/promoter group" are in excess of 1% of the total number of shares

Sr. No.	Name of the DR Holder	Type of outstanding DR (ADRs, GDRs, SDRs, etc.)	Number of shares underlying outstanding DRs	Shares underlying outstanding DRs as a percentage of total number of shares (i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above)
1	N/A			
TOTAL			0	0.00

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