

QUARTERLY COMPLIANCE REPORT ON CORPORATE GOVERNANCE

NAME OF THE COMPANY : BHARAT BHUSHAN FINANCE & COMMODITY BROKERS LTD.

QUARTER ENDING ON : 30TH SEPTEMBER, 2015

Particulars	Clause of Listing Agreement	Compliance Status (Yes/No)	Remarks
1	2	3	4
II. Board of Directors	49 II		
(A) Composition of Board	49(IIA)	Yes	
(B) Independent Directors	49(IIB)	Yes	
(C) Non-executive Director's compensation & Disclosures	49(IIC)	NA	The Company is not paying any compensation to non-executive directors except sitting fees for attending the meetings of the Board of Directors and Committees thereof.
(D) Other provisions as to Board and Committees	49(IID)	Yes	
(E) Code of conduct	49(IIE)	Yes	
(F) Whistle Blower Policy	49(IIF)	Yes	
III. Audit Committee	49 (III)		
(A) Qualified & Independent Audit Committee	49(IIIA)	Yes	
(B) Meeting of Audit Committee	49(IIIB)	Yes	
(C) Powers of Audit Committee	49(IIIC)	Yes	
(D) Role of Audit Committee	49 (IIID)	Yes	
(E) Review of Information by Audit Committee	49(IIIE)	Yes	
IV. Nomination and Remuneration Committee	49(IV)	Yes	
V. Subsidiary Companies	49(V)	NA	

VI. Risk Management	49(VI)	NA	
VII. Related Party Transactions	49(VII)	NA	
VIII. Disclosures	49(VIII)		
(A) Related party transactions	49 (VIII A)	Yes	
(B) Disclosure of Accounting Treatment	49 (VIII B)	Yes	
(C) Remuneration of Directors	49 (VIII C)	NA	The Company is not having any executive directors therefor, no remuneration is paid to Directors except sitting fees for attending the meetings of the Board of Directors and Committees thereof.
(D) Management	49 (VIII D)	Yes	
(E) Shareholders	49 (VIII E)	Yes	
(F) Proceeds from public issues, right issue, preferential issues, etc.	49 (VIII F)	NA	
IX.CEO/CFO Certification	49 (IX)	Yes	
X. Report on Corporate Governance	49 (X)	Yes	
XI. Compliance	49 (XI)	Yes	

For Bharat Bhushan Finance & Commodity Brokers Ltd.

Sonia Luthra
(Company Secretary)

Date: 12.10.2015

Place: New Delhi